

# 1031 EXCHANGE CLIENT QUALIFICATION FORM

## CLIENT IDENTIFICATION

Name (First, MI, Last) \_\_\_\_\_

Address \_\_\_\_\_ City \_\_\_\_\_ State \_\_\_\_\_ Zip \_\_\_\_\_

Home Phone Number \_\_\_\_\_

Email Address \_\_\_\_\_

## FINANCIAL INFORMATION (Securities industry regulations require that we collect the following information)

Est. Annual Income:

- 0-49,999
- 50,000-99,999
- 100,000-249,999
- 250,000-499,999
- 500,000-999,999
- Above 1,000,000

Est. Net Worth:

(including Real Estate)

- 0-249,999
- 250,000-499,999
- 500,000-999,999
- 1,000,000-4,999,999
- Above 5,000,000

Federal Tax Rate:

- 0.0%-15%
- 15.1%-32%
- 32.1%-50.0
- Above 50.1%

Client Investment Profile:

- Conservative Income
- Conservative Growth
- Conservative Growth/Income
- Moderate Income
- Moderate Growth
- Moderate Growth/Income
- Aggressive Growth
- Aggressive Growth/Income
- Aggressive Trading

## ADDITIONAL INFORMATION

Which best describes your current situation?

- 1031 exchange in progress
- Thinking about selling
- Property for Sale
- Property Under Contract

If client plans on selling what is the estimated closing date? (MM/DD/YY)

\_\_\_\_\_

What type of real estate do you own?

- Apartment
- Office
- Retail
- Industrial
- Raw Land
- Other \_\_\_\_\_

Where is your property located?

\_\_\_\_\_

\_\_\_\_\_

What is your estimated property Information?

\$ \_\_\_\_\_ Estimated Value

\$ \_\_\_\_\_ Cost Basis

\$ \_\_\_\_\_ Debt

## BY SIGNING BELOW, I ACKNOWLEDGE THE FOLLOWING:

I have carefully read and reviewed this entire document. All of the information printed above is true, correct and accurate. I agree to notify Northland Securities Inc. should my investment situation and/or objectives change.

Client Signature \_\_\_\_\_

Date \_\_\_\_\_

Client Signature \_\_\_\_\_

Date \_\_\_\_\_

1031 exchange opportunities offered through Northland Securities are available only to accredited investors. Investors must be qualified prior to any discussion of a current or contemplated offering. Northland Securities, Inc. does not provide tax advice.